DOCKET NO.: K0522.70000US00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Suga et al.

Serial No.:

10/564,051

Confirmation No.:

5728

Filed:

January 9, 2006

For:

PACEMAKER SYSTEM FOR TREATING SLEEP APNEA

**SYNDROME** 

Examiner:

Not yet assigned

Art Unit:

3762

#### **MAIL STOP Amendment**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

# PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing of a first Office action on the merits in the above-identified case.

No fee or certification is required.

## PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

### PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1252323.1

Serial No.: 10/564,051 -2 - Art Unit: 3762

Conf. No.: 5728

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

- 2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application:
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

By:

Respectfully submitted,

Joseph Teja, Jr., Reg. No. 45,157

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Docket No.: K0522.70000US00 Date: September / , 2007

**xNDDx** 

FORM PTO-1449/A and B (modified PTO/SB/08)  INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICATION NO.: 10/564,051		ATTY. DOCKET NO.: K0522.70000US00			
				FILING DATE: January 9, 2006 CON		CONFIRMA	CONFIRMATION NO.: 5728		
				APPLICANT: Suga et al.					
Sheet 1 of 1			<u> </u>	GROUP ART UNIT: 3762		EXAMINER	EXAMINER: Not yet assigned		
U.S. PATENT DOCUMENTS  U.S. Patent Document  Date of Publication or I									
Examiner's Cite Initials # No.		Number		Kind Code	Name of Patentee or Applicant of Cited Document		Date of Publication or Issue of Cited Document MM-DD-YYYY		
		US2002/0143261		Al	Nanba et al.		10/03/2002		
		6,044,297			Sheldon et al.		03/28/2000		
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				FORFIG	GN PATENT DOCUMENTS				
Examiner's Initials #	Cite No.	Foreign Patent Document					Date of		
		Office/ Country	Number	Kind Code	Name of Patentee or Applicant of Cited Document			Translation (Y/N)	
		EP	1,645,306	A1			04/12/2006		
			:						
			OTHER A	RT — NON	PATENT I ITEDATURE BOCIII	MENTS			
Examiner's Initials **  OTHER ART — NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.								Translation (Y/N)	
city and/or country where published.									
EXAMINER: DATE CONSIDERED:									

[NOTE – No copies of U.S. patents, published U.S. patent applications, or pending, unpublished patent applications stored in the USPTO's Image File Wrapper (IFW) system, are included. See 37 CFR §1.98 and 1287OG163. Copies of all other patent(s), publication(s), unpublished, pending U.S. patent applications, or other information listed are provided as required by 37 CFR §1.98 unless 1) such copies were provided in an IDS in an earlier application that complies with 37 CFR §1.98, and 2) the earlier application is relied upon for an earlier filing date under 35 U.S.C. §120.]

<sup>#</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.